



The Implementation Guide for
The Toolkit to
Support Vaginal Birth and
Reduce Primary Cesareans

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Table of Contents	Page
Introduction	3
Making a case for change	4
Lessons from the field	4
Getting started	8
Structure measures	8
Looking at your data – labor dystocia deep dive	9
Gathering your team	9
Announcing the change	10
Provide an educational foundation	10
Make it easy to do the right thing	11
Mentoring	11
Creating a culture ready for change	11
Being persuasive	12
Driver diagram	13
Top 10	14
Next 9	15
Measurement – metrics for success	16
Potential barriers to implementation	19
As the project continues	20
Celebrate success along the way	20
Display data	20
Making it stick	20
Sample sustainability review audit plan	22, 28
QI readiness assessment	23
Timeline for implementation worksheet	25
Guidance for understanding and unblinding provider-level NTSV	29
cesarean rates at start of project	
Dystocia audit worksheet	34
Council on Patient Safety in Women's Health Care Patient Safety Bundle:	35
Safe Reduction of Primary Cesarean Births / Supporting Intended	
Vaginal Births	
Web resources	37
References	38

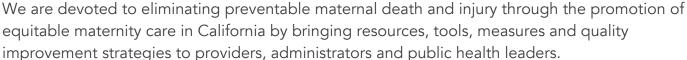


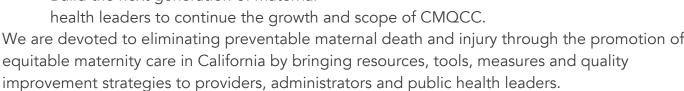


THE TOOLKIT AND COLLABORATIVE TO SUPPORT VAGINAL BIRTH AND REDUCE PRIMARY CESAREANS IMPLEMENTATION GUIDE

CMQCC's mission is to end preventable morbidity, mortality and racial disparity in California maternity care. To achieve this goal, we will:

- Identify, define and implement best practices for public health, communities and women with quality, safety and social justice as the clear priorities of every decision and action.
- Promote communication and collaboration between all maternity stakeholders.
- Gather, review and organize maternity data and statistics into actionable information.
- Build the next generation of maternal





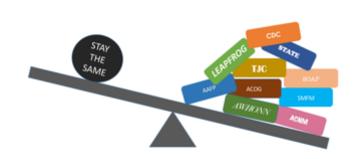
INTRODUCTION

Prior to beginning any quality improvement project, it is important review and understand some basic components of quality improvement, change and sustainability. The California Maternal Quality Care Collaborative has hosted and led several, successful quality improvement collaboratives and has identified several characteristics to success. In addition to these concepts, this Implementation Guide can be used to direct your quality improvement efforts to implement the key elements of the <u>patient safety bundle</u> related to Supporting Vaginal Birth and Reducing Primary Cesareans along with other elements of the Toolkit to Support Vaginal Birth and Reduce Primary Cesareans.





MAKING A CASE FOR CHANGE



Cesarean birth is a lifesaving procedure, with obvious benefits to mother and baby when vaginal birth is no longer the safest option. Nonetheless, the extraordinary rise and remarkable variation in rates of cesarean create concern for both the quality and cost of maternity care. More information can be found in the toolkit.

LESSONS FROM THE FIELD

Valuable lessons have been learned through previous work that builds on concepts associated with success in implementing new clinical practices.

It takes a broad team to implement systematic change

Hospitals with the greatest success in implementing recommended practices have recognized the need to engage all stakeholders in the project. It is important to think through who the stakeholders

are in each institution. After these leaders are identified, it will be important to engage them in planning and implementation of evidence based practice changes.

Easy wins matter

Demonstrating some early, straightforward successes builds confidence and enthusiasm for continued improvement. What constitutes an easy win will vary by hospital, birth center, or labor and delivery unit.

Goals and timelines are very useful

An internal review of the experiences of prior collaborative participants revealed that highly motivated teams developed implementation plans with specific goals and timelines. Structuring their work in this way and assigning deliverables gave teams a sense of progress and momentum that was encouraging. An example timeline/plan is <a href="https://example.com/here-teams/new-teams-new

Multi-disciplinary							
Implementation Team							
Disciplines &	Needed?						
Departments							
Obstetric Providers							
Nursing	V						
Anesthesia							
Laboratory							
Operating Room	E						
Quality							
IT/EMR	S						
Others unique to your							
hospital setting?							





Small tests of change matter

A key principle of implementation science is that fit between intervention and context is crucial. The exact manner in which bundle elements are deployed in each hospital needs to be adapted to each unit. While all improvement requires change, not all change results in an improvement. It is important that the improvement team be willing to test multiple ideas while searching for the changes that result in improved care at the local level. In the Model for Improvement, these multiple small tests of change are referred to as the PDSA, or Plan Do Study Act cycle. PDSA cycles should be run among smaller groups (for example, one nurse, one physician, and during one shift to start) before gradually expanding to a larger population within the system or organization if the change is determined to be successful.

PDSA Cycle

The PDSA cycle is an improvement tool which promotes improvement via the implementation of rapid-cycle tests among an increasingly larger population and a wider range of conditions. The "Plan" step in the cycle involves identifying and planning the change to be tested. Plans should be as specific as possible and include information about where the test



will take place, who will participate, resources needed and how the effectiveness of the change will be measured. The "Do" portion of the cycle is the actual act of carrying out the test. Initial tests should be small and local. For example, an initial test of a new form could be performed with one nurse and one patient on one nursing unit. If the test proves successful, the new form can be tested with several nurses and several patients in several different units. The "Study" phase of the PDSA cycle involves rapid data collection that is done during testing through a "huddle" or "debrief" with the staff or patients involved in the newly designed process. The results of testing will be analyzed and will help to determine whether a change process will be abandoned, adapted, or adopted. Testing periods should not last more than a month and can usually be completed within a few days, allowing for multiple testing cycles if needed. Finally, the "Act" portion of the cycle occurs when the decision to Adapt, Abandon or Adopt is made, based on the analysis of rapidly-collected information. If revisions and changes are indicated, the process is revised or "adapted," and a new testing cycle is instituted. If the trials have been unsuccessful, the change idea may be "abandoned." The decision to "adopt" a new process occurs after it has been tested broadly under various circumstances and settings. For more information and tools related to PDSA cycles and other QI processes, see the Institute for Health Care Improvement's Toolkit of QI Essentials.





Data matter

Data are needed to define baseline, test changes, provide feedback, and answer the essential question, "How do we know the change was an improvement?" Participation in the <u>CMQCC Maternal Data Center</u> provides the framework to streamline measurement yet provide current data related to quality improvement projects.



Administrative support matters



Teams that made the greatest progress had high-level administrative support. Successful bundle implementation requires staff time and budgetary resources for equipment/supplies, education/training, and data collection. Implementation teams may need administrative support in

identifying organizational stakeholders and resources, purchasing supplies, moving order sets and policies through committees, and obtaining integration of best practices. Facilities also need to provide resources and staff support for entering and analyzing data collection. This will often involve working collaboratively with information technology and quality departments. Staff need release time or additional support to complete these activities successfully.

It takes time and persistence to get systems running smoothly

The scope of full implementation of the Supporting Vaginal Birth and Reducing Primary Cesareans toolkit and bundle elements involves the careful coordination of multiple clinicians and departments. Therefore, everyone should realize that, while there will be some "quick wins", overall success will often take significant time. In addition, we recognize that developing and refining systems are always works in progress. Sustainability requires steady effort and attention by committed leaders and front line staff.

Champions are essential

Formal leaders, opinion leaders and early adopters and important to overall success since the changes can be uncomfortable and take a long time. Champions, however, are essential. Champions are individuals who actively associate with the project and dedicate themselves incorporating best practices within the structure of each unit. Both nursing and physician champions are core components of successful implementation and especially with leading culture change associated



with Supporting Vaginal Birth and Reducing Primary Cesareans. Nursing champions typically play a central role in testing, implementing, coordinating, and disseminating clinical practice refinement and changes. Physicians and midwife champions are particularly important since they make the definitive diagnostic and treatment decisions, and are particularly visible stakeholders.



Sustainability

Long term fidelity of changes made within a unit are dependent on a commitment to sustainability of the new or altered clinical practice. It is important to start your quality improvement project with the end in mind. This means to be sure to include all relevant stakeholders, plan processes that make sense, and adequately educate and train staff – from the beginning. Successful sustainable efforts are based in the foundation provided in the initial phases of the project. More information on sustainability can be found here.



GETTING STARTED

A basic principle to Quality Improvement is an understanding to "start where you are". In order to begin, each team will need to determine "where they are" by performing a baseline assessment of both quality improvement readiness as well as clinical readiness. A QI readiness assessment will prepare your team for the implementation work to begin. The QI Readiness Assessment is found on page 23 of this document. A clinical readiness assessment helps your team to analyze the processes you may have in place and what clinical elements need your teams' focus. The Structure Measures below will help to analyze your clinical readiness.

	T 11:1 ::
Structure Measures (Clinical Checklist)	Toolkit location
☐ Has your hospital implemented updated labor protocols for a unit-standard approach for providing labor support, and freedom of movement?	P. 29, 44, 45, 75, 78, 95
☐ Has your hospital implemented standard criteria for diagnosis and treatment of labor dystocia, arrest disorders and failed induction?	P. 15, 51, 52, 55, 57, 74, 110, 111
☐ Has your hospital implemented protocols and support tools for women who present in latent (early) labor to safely encourage early labor at home?	P. 14, 39, 43, 95, 107
☐ Has your hospital developed a policy to implement intermittent monitoring policies for low-risk women?	P. 14, 29, 41
☐ Has your hospital developed OB specific resources and protocols to support patients, and family through an unexpected/traumatic Cesarean?	
☐ Have you shared provider level measures with department members (may start with blinded data but quickly move to open release)?	P. 68-75 Also see "Guidance for Understanding and Unblinding Provider-Level NTSV Rates" on page 29 of this document
☐ Were some of the recommended tools for the Safe Reduction of Primary C/S bundle (i.e. dystocia checklist, order sets, and other tracking tools) integrated into your hospital's Electronic Health Record system?	
☐ Has your hospital implemented training/procedures for identification and appropriate interventions for malpositions (e.g. OP/OT)?	P. 47, 55, 56, 58, 63, 64, 65, 80,
☐ Has your hospital developed a policy to integrate doulas into the birth care team?	P.14, 27, 31, 40, 42, 46, 67, 88, 95, 98, 100, 101,



Looking at the Data: Taking a Deep Dive

Our pilot hospitals in reducing Nulliparous Term Singleton Vertex (NTSV) delivery rates, found data to be one of the most important drivers of change. This includes overall NTSV rate as well as NTSV rate by provider. Some hospitals have found that sharing provider data is a motivator for commitment to changing practice and hospital unit culture to support vaginal birth.

Initial "deep dive" efforts can be focused on identifying a number of NTSV patient charts and applying the principles of the checklist found below. Findings can help inform initial focus areas for improvement. A simple audit tool for this chart review is located on page 34 of this document.

Criteria	1		Criteria 2	Criteria 3		
Diagnosis of Dystocia/Arrest Disorder (all three should be present)		Diagnosis of Failed dilation (both should be pre	Diagnosis of failed induction after 6 cm dilation (see criteria 1)			
Cervix ≥ 6 cm	Membranes ruptured, then	No change x 4 hrs with adequate uterine activity (or 6 hrs with oxytocin)	Bishop score ≥ 6 before elective induction	Oxytocin used for a minimum of 12 hours after ROM	, o	

Gathering Your Team

There is no standard for your quality improvement team composition or size; effective teams include members that represent system-wide leadership, day-to-day (local) leadership, and technical and

professional expertise. Effective team composition includes a team leader to serve as the key contact/project manager, one or more clinical champions to provide support and represent the clinical disciplines that will be affected by the change in process, frontline staff members, a quality improvement expert to assist the team, and an executive sponsor from the hospital leadership team

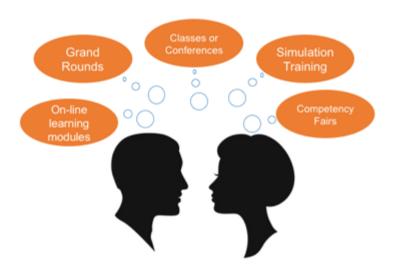




to ensure the team has the resources necessary for improvement.

Announcing the Change

A planned change in practice or implementation project should be announced and shared well in advance of the intended start date. This will provide staff the opportunity to share in the planning of the proposed change and rollout. Additionally, this will afford ample time to make sure all affected



parties to have heard of the change. Make sure to include all departments impacted by the change. It is always better to over communicate than under communicate the intended message.

(continued next page)



Provide an Educational Foundation

As mentioned elsewhere in the Implementation Guide, providing the "why" is key to successful

implementation and sustainability of any clinical practice or unit culture change. The CMQCC Toolkit to Support Vaginal Birth and Reduce Primary Cesareans is full of great ideas and tools for use and individualization for your unit. Additional, the toolkit is associated with a slide deck for teaching staff and can be found here.



Make it easy to do the right thing



A unit culture that makes it easy to perform the change makes it more appealing to the staff (Brewster et al., 2015). When a new process is liked by the staff, it may make it easier to modify practice to accommodate the proposed change. Encourage staff involvement in the process and planning for implementation. Organizations that sponsor a culture of learning and involvement are also conducive to change – which can be obtained through shared decision making (Rycroft-Malone, 2004). Workflow is also efficient and makes the "right thing" the easiest to do.

Mentoring

A mentor model is used to facilitate progress through the collaborative by sharing successes, challenges and barriers. Collaborative mentors share best practices through evidence based toolkits and evidence based updates. This same model can be applied at the local level. Evidence based facilitators can be identified within the labor and delivery units to be mentored by unit leadership and, in turn, serve as mentors to fellow front line staff (Melnyk, 2012).



Creating a Culture Ready for Change

- Must be a multidisciplinary effort with all members of the team's needs respected
- Team must meet regularly
- Ability to provide a safe environment for:
 - Listening
 - o Questioning
 - Persuading
 - Respecting
 - Helping
 - Sharing
 - Participating
- Successful teams will soon learn to have "system-wide" view rather than just their own view of their area



Being Persuasive

Aristotle's ingredients for persuasion.

is an appeal to ethics, and it is a means of convincing someone of the character or credibility of the persuader

is an appeal to emotion, and is a way of convincing an audience of an argument by creating an emotional response

is an appeal to logic, and is a way of persuading an audiency by reason



Examples of persuasion in healthcare:

S "patients deserve the best care"

"patiet safety

must come first"

"we went into healthcare to help patients"

"it feels good to improve our care"

Sharing a

patient story

S "statistics show better long term outcomes" "reduced complications"

DRIVER DIAGRAM

What is a driver diagram?

A Driver diagram is a tool commonly used by quality improvement teams to highlight and understand the key elements that need to be changed to improve a process. They are a visual demonstration of the pathway to improvement that shows the causal relationship between ideas for change and an outcome. Driver diagrams are a type of structured logic chart with three or more levels that include:

- The overall goal (AIM)
- Systems-level factors that must be addressed in order to achieve the goal (Primary Drivers)
- Specific activities that drive the systems-level factors (Secondary Drivers)
- Specific changes to a process or changes that can be rolled out in the ongoing improvement work (Change Ideas)

Driver diagrams provide a pathway for change that can help a team determine the factors that need to be addressed to achieve the overall goal, show how those factors are interconnected and provide the framework for improvement work. Driver diagrams are best used when an improvement team needs to determine which actions should be undertaken to achieve a goal. The working team begins with the specific goal or outcome and works backward to identify both the primary drivers and secondary drivers needed to attain that outcome.



TOP 10 DRIVER DIAGRAM TO SUPPORT VAGINAL BIRTH AND REDUCE PRIMARY CESAREANS

The overall AIM to support vaginal birth and reduce primary cesareans to reach the Healthy People goal for low risk cesarean section target rate of 23.9%. This reduction in cesarean rate is to also make sure there are continued good out comes for infant and maternal measures. The top ten list of steps shown in the first table were chosen for their immediate impact and their ability to be quickly implemented by hospitals. Timing of implementation will be determined by each facility.



TOP 10 DRIVER DIAGRAM

Aim	Primary Drivers	Top Ten First Steps (not to downplay other activities!)				
Improve Support for Intended Vaginal Birth and Reduce Primary Cesareans	Readiness: Build a provider and maternity	Create a team of providers (e.g. obstetricians, midwives, family practitioners, and anesthesia providers), staff and administrators to lead the effort and cultivate maternity unit buy-in				
Target: NTSV* CS rate <24% With continued good outcomes for infant and perineal measures	unit culture that values, promotes, and supports intended vaginal birth and optimally engages	Develop program for ongoing staff training for labor support techniques including caring for women regional anesthesia				
	patients and families	 Develop a program with positive messaging to wome and their families about intended vaginal birth strategies for use throughout pregnancy and birth 				
	Recognition and Prevention: Develop unit-standard approaches for admission,	Implement protocols and support tools for women who present in latent (early) labor to safely encourage early labor at home				



*NTSV= Nulliparous,	labor support, pain management and freedom of movement	Implement Policies and protocols for encouraging movement in labor and intermittent monitoring for low-risk women				
Term, Singleton Vertex	Response : Develop unit- standard approaches for prompt identification and	 Implement standard criteria for diagnosis and treatment of labor dystocia, arrest disorders and failed induction 				
	treatment of abnormal conditions	 Implement training/procedures for identification and appropriate interventions for malpositions (e.g. OP/OT) 				
	Reporting and Systems Learning: Utilize local data	Share provider level measures with department (may start with blinded data but quickly move to open release)				
	and case reviews to present feedback and benchmarking for providers and to guide unit	Perform monthly case reviews to identify consistency with dystocia and induction checklists (derived from the ACOG/SMFM guidelines)				
	progress	Establish a project communications plan (at least monthly education and progress updates				

NEXT NINE

The top ten list of steps shown in the first table were chosen for their immediate impact and their ability to be quickly implemented by hospitals. Additional recommended steps, that are important but not the easiest to accomplish immediately are listed below. Timing of implementation will be determined by each facility.

 Readiness: Build a provider and maternity unit culture that values, promotes, and supports intended vaginal birth and optimally engages Implement a policy to integrate doulas into the birth care team* Introduce principles of shared decision-making Develop OB specific resources and protocols to support patients, and family through an unexpected or traumatic Cesarean birth* 	Primary Drivers	"Next" Nine Steps (not to downplay other activities!)
 Introduce principles of shared decision-making Develop OB specific resources and protocols to support patients, and family through an unexpected or traumatic Cesarean birth* 		• Implement a policy to integrate doulas into the birth care team*
 Develop OB specific resources and protocols to support patients, and family through an unexpected or traumatic Cesarean birth* 		Introduce principles of shared decision-making
patients and families	promotes, and supports intended vaginal birth and optimally engages	



Recognition and

Prevention: Develop unitstandard approaches for admission, labor support, pain management and freedom of movement

- Make available special expertise and techniques to lessen the need for abdominal delivery, such as breech version, instrumented delivery, and twin delivery protocols
- Establish an in-house maternity care provider or alternative coverage program (e.g. Laborist Model or MD/CNM Collaborative Practice Models which guarantees timely, effective and supportive responses to labor problems
- Implement anesthesia best practice recommendations for laboring women with regional neuraxial anesthesia

Response: Develop unitstandard approaches for prompt identification and treatment

- Implement standard criteria for diagnosis and treatment of fetal heart rate abnormalities
- Ensure initial training and ongoing physician competency in forceps and vacuum extraction

Reporting and Systems Learning: Change information systems to support effort

• Integrate some of the recommended tools for the Safe Reduction of Primary C/S bundle (i.e. order sets, tracking tools) into your hospital's Electronic Health Record system

MEASURES ASSOCIATED WITH THE IMPLEMENTATION COLLABORATIVE

The structure measures can be found <u>here</u> along with correlation to resources within the toolkit. The structure measures are a checklist of recommended policies, protocols, processes and tools. These are one time measures to be documented as complete once implemented. Check status of implementation quarterly until complete.

Performance or Outcome Measures

Nulliparous Term Singleton Vertex (NTSV) Cesarean rate

Cesarean for Labor Arrest/CPD among NTSV spontaneous labors

Cesarean among induced NTSV births

Rates calculated using administrative data and chart review to validate inductions



Process Measures

NTSV Spontaneous Labor Arrest / CPD: Consistency with Guidelines

- Review of sample of NTSV CS women with spontaneous labor and a dystocia code, for analysis of consistency with ACOG/SMFM guideline. Fallout cases include those who did not meet the criteria of the dystocia guideline:
 - o If <6cm dilated,
 - o If 6-10cm dilated, was there at least 4h with adequate uterine activity or at least 6h with inadequate uterine activity and with oxytocin?
 - o If completely dilated, was there 3h or more in Second Stage?

Denominator: all NTSV CS women without a fetal intolerance code and with a dystocia code, Numerator: those who were consistent with bundle

NTSV Induced Labor Management: Consistency with Guidelines

- Review of sample of NTSV CS women with induced labor and a dystocia code, for analysis of consistency with the ACOG/SMFM guideline. Fallout cases include those who did not meet the criteria of the dystocia guideline:
 - o If <6cm dilated at time of CS, were there at least 12 hours of oxytocin after rupture of membranes?
 - o If 6-10cm dilated, was there at least 4h with adequate uterine activity or at least 6h with inadequate uterine activity and with oxytocin?
 - o If completely dilated, was there 3h or more in Second Stage?

Denominator: Induced NTSV women without a fetal intolerance diagnosis

Numerator: those who were consistent with bundle

Balancing Measures

Unexpected Newborn Complications (UNC) among NTSV births

3rd & 4th Degree Lacerations among NTSV births

5 minute APGAR ≤5 among NTSV births (test measure)

Using administrative data



Optional Process Measures - Education

At the end of this quarter, what cumulative proportion of <u>OB physicians and midwives</u> has completed an education program on the ACOG/SMFM Consensus Statement for labor management guidelines reflected in the unit-standard protocol? (Estimated in 10% steps by nurse manager or designee)

At the end of this quarter, what cumulative proportion of <u>labor nurses</u> has completed an education program on the ACOG/SMFM Consensus Statement for labor management guidelines and labor support techniques reflected in the unit-standard protocol? (Estimated in 10% steps by nurse manager or designee)

Data Quality Measures

Birth Certificate Induction Coding Errors among NTSV women

- o Denominator: all NTSV CS women with codes for induction on the BC
- Numerator those with documented induction on chart review

ICD-10 Induction Coding Errors among NTSV women

- Denominator: all NTSV CS women with ICD-10 codes for oxytocin, misoprostol or failed induction
- Numerator those with documented induction on chart review

These are "by-products" of the induction confirmation efforts





Identification of potential barriers to your project are important to consider and solve prior to sharing with staff. It is possible that additional challenges may occur during implementation, but it will be in your favor to remove as many barriers prior to the start.

Potential Implementation Barriers & Strategies to Overcome							
Potential Barrier Drivers	Strategies to Overcome						
 Clinician Resistance to change Don't see the need for change Lack of understanding and/or knowledge deficit 	 Build compelling story with respected peer leader to speak to the importance of initiating proposed changes Provide peer based education to all clinicians with education on the initiative and goals Provide peer-reviewed evidence to support change Share goals of the proposed QI project/change Provide opportunities to answer questions and/o concerns 						
 Upper management Lack of knowledge of Perinatal QI Lack of resource support 	 Share data on outcomes of facility in relation to like facilities Provide high quality peer-reviewed research and evidence to support change Instill the importance of resource (people, financial) support for project to ensure success Share plan for implementation and sustainability 						
Time limitations	 Utilize efforts of many staff members – consider use of nurse clinical ladder to support project Make sure meetings are organized and succinct to decrease the impact on available time Offer meetings at multiple times; consider web based meetings for those who may be off site Utilize regularly scheduled department to highlight project and results – succinct Be prepared to answer questions 						
Resource limitations	Connect with other hospitals or QI leaders for potential solutions; or sharing resources through collaborative work						
	mplementation strategies and tactics for leading						
change on maternity units. Journal of Perir	natal and Neonatal Nursing. 24(1) 32-42.						



AS THE PROJECT CONTINUES.

Celebrate successes along the way



Take the time to celebrate milestones of completion all along the journey. Don't wait until implementation is complete. Engaged labor and delivery units are always in some state of change, so it is important to take opportunities along the way. Use your implementation timeline to set goals – when these milestones are met, celebrate with your team and your staff.

Display data - keep it current AND interesting!

Unit bulletin boards provide a great way to share the results of the work of improvement. Regularly display your unit's progress or lack of progress to provide feedback. Simple numbers and graphs may not be as interesting to your staff as they are to you – so be creative in your data display. There are many ideas to display data on the internet. Often, visual representations of data stimulate conversation and interest.



Making it stick

The theory of planned behavior speculates that a person is more likely to carry out the desired behavior change once a clear understanding of the rationale for such change is understood. Additionally, Rogers' Diffusion of Innovation theory suggests that in order to move forward or change practice, an *individual must see the benefit or understand the advantage* of such a change over the practice it is replacing (Van Patter Gale & Schaffer, 2009). It is important to lay the foundation for staff to foster a spirit of understanding and rationale for the proposed change and empower staff to withstand opposition to change.



"Routinization" is one behavior or a compilation of staff behaviors that occur consistently as part of every day practice (Brewster et al., 2015). New processes or skills integrated as "part of the way things are done" is an important, yet often overlooked, piece of the implementation process. A <u>lack of integration undermines the new practice</u> and decreases the potential for sustainability. As practice patterns become embedded as part of the routine, the new practice has a better chance to be sustained long term.



One strategy to improve "routinization" is to engage project champions in continuing to reinforce desired behaviors beyond the official project implementation period (Brewster, et al, 2015). This process is also a product of the use of mentors as discussed above. Mentoring improves adherence to changes as staff feel a higher level of unity (Melnyk, 2010). A staff member or small number of key staff who were involved in the implementation, continue to devote time and effort to

<u>hold the new practice in place</u> through reminders and regular reporting. The effectiveness of the implemented practice change should be reviewed often to stave off drift and to maintain recommended practice, rather than simply relapsing into old patterns (Brewster, et al.).

Ensuring that the new changes and processes become part of normal unit functioning may also require "passing the baton" to the appropriate perinatal safety or quality committee, or other designated work group within the department. Often these formal committees are better equipped to continue the review and implementation of the tools and processes developed during the collaborative, and to assign responsibility for regular performance tracking and transparent presentation of the data. Such formal structures make returning to old practice patterns far more difficult. Creation of this type of committee or task force should be considered in facilities where such an entity does not yet exist. Membership in this group should be interprofessional and include representatives from perinatal safety, risk management, OB education, quality improvement, perinatal clinical nurse specialists, midwifery, anesthesia, and the nurse and obstetric champions originally participating in the collaborative implementation. Other departmental leadership should also be considered.



SAMPLE SUSTAINABILITY REVIEW AUDIT PLAN

Use the chart on page 28 to track unit QI projects after initial implementation is complete (sample shown below). Determine appropriate intervals for review. Recommend frequent reviews for newer projects and those that have sustained a significant level of practice drift. Use outcome measures along with other methods to review improvement. Create a "deep dive" plan for those areas with drift, along with corrective activities once these areas are identified.

	OBSTETRICS QUALITY IMPROVEMENT SUSTAINABILITY REVIEW PLAN									
Project Name	Project Start/ Review Date	Scheduled Review Frequency	Nurse Leaders Project Champ	Bedside RN Project Champ	Med Staff Champ	Audit Review Method	Outcome of Review	Other departments involved in project	Notes: Outcome data changes, practice variation	
QBL/OB Hem	2/2015 2/2016	☐ Annual X Bi-Annual ☐ Quarterly	Smith	Jones	Main	X Chart Review X Observation Data Review	X Corrective action Continue regular review	None	Discovered QBL is done about 50% of the time for vaginal births; 75% for C/S	
Deeper Dive Indicated?		omit call to staff i o practice, and t				Corrective Action Plan	Once suggestions received, form sub taskforce to focus on barriers and recommendations. Next step – re-kick off QBL campaign with various incentives. See meeting minutes.			
Preeclamp sia – Timely treatment	6/2015	☐ Annual ☐ Bi-Annual X Quarterly	Brewer	Franks	Smith	X Chart Review Observation X Data Review	Corrective action X Continue regular review	Pharmacy	Encouraged by results – women identified with severe level HTN, 93% received within 60 min	
Deeper Dive Indicated?	NO					Corrective Action Plan	Continue to support staff, celebrate this SUCCESS!!!!!			
Hand Hygiene	1/1999 X Annual Smith Johnson None 2/2016 Quarterly		Chart Review X Observation Data Review	Corrective action X Continue regular review	INF Ctrl	"Secret shopper" auditor found about 75% compliance with visible hand washing after leavin patient room				
Deeper Dive Indicated?	No – nurses state they wash hands at sink by door prior to leaving the room. Follow up with review.					Corrective Action Plan	None			





Readiness Assessment to Support Vaginal Birth and Reduce Primary Cesareans

Hospital Name: Project Contact:									
Focus	Readiness Questions	ΥN							
	Has your hospital previously participated in a formal datadriven OB QI Collaborative?								
	If yes: Were there monthly chart reviews for process measures?								
	Were there monthly reports on outcome measures?								
	Were results shared with staff on an ongoing basis?								
	Have you identified current practices or policies that may be associated with increased cesarean rate?								
	Have you considered alternative policies/practices to								
	reduce cesareans?								
	Do you have a multidisciplinary team?								
	If yes, have you started meeting?								
	If so, has your team considered strategies (practices,								
	policies) that could serve to address and identified barriers?								
	Has your team discussed and understands the rationale for a standardized approach to the definition and management								
	of labor dystocia?								
The	ue for the project								
10	Created a team of providers (e.g. obstetricians, midwives, family practitioners, and anesthesia providers), staff, quality dept. and administrators to lead the effort and cultivate maternity unit buy-in?								
100	Developed a program for ongoing staff training for labor								
Process	support techniques including caring for women with								
measure	regional anesthesia?								
10	Developed a program with positive messaging to women and their families about intended vaginal birth strategies for use throughout pregnancy and birth?								



Focus	Readiness Questions	ΥN	Date
			completed
	For Structure Measures—please enter the c	late of co	ompletion
Structure Measure	Implemented standard criteria for diagnosis and treatment of labor dystocia, arrest of disorders and failed induction?		
Structure Measure	Implemented training/procedures for identification and appropriate interventions for malpositions (OT/OP?)		
Structure Measure	Implemented protocols and support tools for women who present in latent (early) labor to safely encourage early labor at home?		
Structure Measure	Implemented policies and protocols for encouraging movement in labor and intermittent monitoring for low-risk women?		
Structure Measure	Shared provider level measures with department (may start with blinded data but quickly move to open release?		
Process measure	Perform monthly case reviews to identify consistency with dystocia and induction ACOG/SMFM checklists		
10) 10)	Establish a project communications plan (at least monthly education and progress updates)		
Structure Measure	Has your hospital developed OB specific resources and protocols to support patients, and family through an unexpected/traumatic cesarean?		
Structure Measure	Are some of the recommended tools for the Safe Reduction of Primary C/S bundle integrated into your hospital's electronic health record system?		
Structure Measure	Has your hospital developed a policy to integrate doulas into the birth care team?		



The Collaborative to Support Vaginal Birth and Reduce Primary Cesareans Timeline for Progression										3
Pre-work							Month 4	Month 5		
Assemble multidisciplinary team		Attend In person kick off meeting		Attend monthly team mentor meeting		Attend monthly team mentor meeting		Attend monthly team mentor meeting		Attend monthly team mentor meeting
Schedule site visit with Mentors						Site visit by Month 3; email mentors and schedule ASAP if not already done				
Obtain access to Maternal Data Center Review, revise, and update the MDC users for your hospital to ensure all team members are listed as users		Share information from kick off meeting with staff, administration Review your data in the MDC for NTSV (PC-02)		Schedule an MDC refresher webinar with your team (contact MDC to schedule as needed Enter data (if available)		Enter data		Enter data		Enter data
Review and understand baseline data for NTSV Cesarean Rates (PC-02) Review 20 cases of CPD compare against ACOG guidelines		Provide confidential baseline NTSV cesarean provider rates to each provider Post baseline department data for staff, administration to review Begin process for unblinding provider data		Share progress through data		Share progress through data		Share progress through data		Share progress through data



			document)				
	Prepare to		Provide				
	educate all		education on				
	providers and		NTSV rate and				
	staff on NTSV		HP 2020 goals				
	rate		Reinforce with				
'	1410	_	visual reminders				
	Complete		Begin work on	Keep all staff,			
	Readiness for QI		areas of need as	providers,			
	assessment		identified by	administration			
'	assessifierit		Structure	apprised of			
			Measures and	progress and			
			TOP 10	barriers.			
			TOF TO				
				Actively seek solutions			
	Camplets		Meet with			Evaluate	
	Complete			Keep all staff,		bundle	
	Clinical		multidisciplinary	providers,		completion	
	Checklist		planning group	administration		and	
	Assessment		meeting to	apprised of			
	(Structure		prioritize areas	progress and		consistently	
	Measures in		to work on for	barriers.		update structure	
(CMQCC MDC)		next three (3)	Actively seek			
			months	solutions		measure	
						status in	
						MDC as	
						project	
						continues	
	Complete Labor		Plan for staff	Begin staff	Maintain		
	Culture Survey		education on	education on	education		
((online)		labor support	labor support	practicum		
					for new		
					hires or for		
					those who		
					have not		
					previously		
				 	attended		
	Education for		Continue	Require basic			
	providers and		education on	education on			
	facility		standards as	facility wide			
	leadership on		needed	standards for			
	the importance			all new staff			
	of facility wide			nurses and			
:	standards			providers			



	Keep				
administration	administration				
Obtain	abreast of				
administrative	progress and				
support	needs				
Determine other	Engage all				
(ancillary)	departments in				
departments	new initiative				
that may be	Solicit ideas				
impacted by	from affected				
work	departments				
Introduce	Continue to				
project to staff	reinforce project				
	activities with				
Staff meetings	nursing/support				
Staff huddles	staff				
Invitations					
Plan for unit kick	Celebrate				
off meeting	project				
•	beginning –kick				
members for	off				
kick-off meeting					
Verify the	Provide	Provide	Provide	Provide	Provide
contact	additional	additional	additional	additional	additional
information	members	members	members	members	members
submitted on	names and	names and	names and	names and	names and
your application	contact	contact	contact	contact	contact
is still correct for	information as	information as	information	information	information
your team (to	needed	needed	as needed	as needed	as needed
CMQCC)					
additional					
members names					
and contact					
information as					
needed					



California Maternal
Quality Care Collaborative

			OBSTET	RICS QUAL	ITY IMPRO	OVEMENT SUSTAIN	OBSTETRICS QUALITY IMPROVEMENT SUSTAINABILITY REVIEW PLAN	AN	
Project	Project	Scheduled	Nurse	Bedside	Med	Audit Review	Outcome of	Other	Notes:
Name	Start/ Review	Review Frequency	Leaders Project	Project	Staff Champ	Method	Review	departments involved in	Outcome data changes, practice variation
	Date	i requeries	Champ	Champ	(project	biacies valiation
		☐ Annual	•			☐ Chart Review	□ Corrective		
		□ Bi-Annual				□ Observation	action		
		□ Quarterly				□ Data Review	□ Continue		
							regular review		
Deeper						Corrective Action			
Dive						Plan			
Indicated?									
		☐ Annual				☐ Chart Review	□ Corrective		
		□ Bi-Annual				□ Observation	action		
		□ Quarterly				□ Data Review	☐ Continue		
							regular review		
Deeper						Corrective Action			
Dive						Plan			
Indicated?									
		☐ Annual				☐ Chart Review	□ Corrective		
		□ Bi-Annual				☐ Observation	action		
		□ Quarterly				□ Data Review	□ Continue		
							regular review		
Deeper						Corrective Action			
Dive						Plan			
Indicated?									

Use chart to track unit QI projects after initial implementation complete. Determine appropriate intervals for review. Recommend frequent reviews for newer projects and those that have sustained a significant level of practice drift. Use outcome measures along with other methods to review improvement. Create a "deep dive" plan for those areas with drift, along with corrective activities once these areas are identified.



Guidance for Understanding and Unblinding Provider-Level NTSV Cesarean Rates at Start of Project

Before the process of unblinding NTSV cesarean rates begins, it is important for teams to have a baseline understanding of their underlying practices. This can be determined through an examination of the drivers for primary cesarean rates, followed by a chart review of a sample to assess how well the providers follow the national ACOG guidelines for Failure to Progress and other key primary cesarean indications. Ongoing monthly review for consistency with guidelines is also quite useful (recognizing that not every case will follow the guidelines perfectly). The Readiness Assessment and Structure Measures Checklist will assist with this baseline review. Success of the project hinges upon system improvements that support providers in reducing individual rates.

The Readiness Assessment, Structure Measures Checklist (both are found in the Implementation Guide), and Chart Audit Tool are all located on the collaborative resources page at https://www.cmqcc.org/projects/toolkit-and-collaborative-support-vaginal-birth-and-reduce-primarycesareans/collaborative

1. Educate & Inform:

- Confirm physician champion on board and that he/she will be notifying other physicians of the plan to reveal rates
- Reveal BLINDED baseline rates to each physician e.g. via letter, email, etc
- Physician champion to notify physicians, e.g. at Quality or Department meeting, special cesarean reduction info session, or via email, of future intent to share unmasked individual NTSV cesarean rates (provide timeline!)
- Key discussion points to include in letter, email, or at info session:
 - ✓ Each physician has an individual responsibility to the success of the project
 - ✓ This aspect of the project has proven to be one of the most important steps and will yield the most results
 - ✓ The process is not meant to be punitive, e.g. will not be used for "profiling" or credentialing. Rather, the data will foster improvement. Though when other steps have been less productive, some hospitals have used these data for the Joint Commission mandated: Ongoing Physician Performance Evaluation (OPPE).
 - ✓ The project's goal is not only to target specific changes in practice, but also to improve the systems that support each physician in being successful
 - ✓ Physicians should discuss with the project leader if they are opposed to unblinding of physician rates
 - ✓ Reiterate factors that will be considered when interpreting physician data (see Table 1 below)



Table 1: Common Considerations with Provider-Level Rates

Problem	Effect	Solution
Low Volume	Low volume often leads to	Point out these concerns with low volume leading to
	an increase in variation of	possibly wrong conclusions, consider making the
	the results, possibly causing	sampling interval longer (e.g. 12 months instead of 3
	significant swings in the	to 6 months)
	individual provider rates	
Provider backing up other	Many care systems require	There are a couple of approaches to correct this
types of providers who cannot	OBGYNs to backup	issue:
perform cesareans	midwives, Family Practice,	1) a new feature in the Data Center is the
	etc. which inflates the	identification of the Labor Provider for all births.
	individual OB's cesarean	Hospitals can now recalculate all the provider stats
	rates.	using the labor provider rather than the delivery
		provider. This is a relatively easy process but requires
		manual entry on case-by-case basis.
		2) Otherwise, consider "group rates" (combining the
		OB with the midwife practice, if that makes sense) for
		a collective evaluation.
Provider is held responsible for	This can raise the rate of	This is part and parcel of group practice. Most of the
decisions of others: long labors	an individual OB where	time, this can be ameliorated by providers in the
that are held over from the	there are cases they feel	group discussing their practice differences and these
prior shift or where counselling	could have potentially	data can jumpstart that process. Cases often balance
in the office precludes the	avoided cesarean.	out between shift changes over the long haul.
current provider from achieving		Emphasize the use of "group rates" (easily set up)
vaginal delivery (elective		so that the individual provider doesn't bear the full
cesarean; lowered expectations		responsibility.
on the part of the patient as to		
length of labor etc)		
Clerical entry errors in the birth	Fortunately, these are	Provide feedback loop for detected errors to correct
certificate data or coding	uncommon and random,	the data (or reassign the provider). The Data Center
leading to wrong procedure or	however with small	has easy tools to accomplish this.
attributed provider.	denominators can be	
	significant to individual	
	providers.	

2. Identify and activate champions

• Current physician champion should seek out like-minded physicians who will co-carry the torch and speak up in support of unblinded data at the scheduled information session. These should

include:

- ✓ Structural leaders such as OB Dept Chair, Medical Directors, Chair of Patient Safety Committee, other relevant committee Chairs
- ✓ Early adopter MDs who are well-respected and trusted



Month 2

- 1. Share BLINDED rates of all physicians e.g. via chart at department meeting, via email, or post in doctor's lounge
- 2. Distribute personal rates (or personal key) to each individual physician to allow comparison to the BLINDED rates of entire cohort
- 3. Identify and work with the outliers
 - ✓ Chart review for outliers to determine: How consistent are they with ACOG dystocia guidelines? What can they work on? What tools could they benefit from?
 - ✓ Physician Champion to meet with and review this information with outlier physicians
- 4. Identify and work with resistant physicians
 - ✓ Physician Champion to communicate candidly and honestly to build trust: What is the resistant physician worried about? How can you as the champion and team make this process easier?
 - ✓ Are there resistant physicians who would benefit from a discussion with a CMQCC MD mentor? If so, contact your team mentors or clinical lead

Month 3

- 1. Share BLINDED rates of all physicians (2nd time)
- 2. Distribute personal rates to each individual physician with a comparison to the BLINDED rates of entire cohort (2nd time)
- 3. Expect lots of questions and concerns about validity of individual rates. Be sure to reiterate the factors that should be considered when interpreting physician data (see Table 1 above)

Month 4

- 1. Share in writing UNBLINDED rates of all physician GROUPS in a Department meeting. Make it low_key initially.
- 4. There is often great variation within groups. At this point, UNBLINDED individual rates would be shared only within each group, with the expectation that next time individual rates will be unblinded between groups for all to see and compare
- 4. If "group rates" do not apply to your facility where only individual practitioners practice, you would now UNBLIND individual physician rates for those physicians open to individual unblinding (for this to be successful, Physician Champion, Department Chairs, and Early Adopters must vocalize support for unblinding)

Month 5-6



1. If not already done, share UNBLINDED rates of individual physicians. This is done after trust has been built with the data. After a round or two, the individual rates can be shared more openly but not truly publicly. Share via department meeting, posted in doctor's lounge, and/or send via email etc. They should not be shared publicly as the data is not perfect. Providers do not like to be outliers (useful for us to help drive change) but they also do not like to be publically "shamed." It is only respectful to provide plenty of notice and opportunity to improve.

Troubleshooting / FAQs

1. What do we do if we are at the point of unblinding the data and we still have one or a few adamantly against it?

ANSWER: In general, this is actually rare in occurrence, but at some point it is necessary to proceed. Physician leaders should have firm conversations that unblinding is going to occur and that the rates will stay within the department. Often it is helpful to remind the providers that current public pressure is progressing to the point where, as these provider rates are derived from publically reported data, sooner or later these rates will be publically available to patients and payers. Therefore it is better to go along with this semi-public openness and work on obtaining appropriate rates (and improving the quality of the data).

2. What about the Kaiser model (or other laborist models), where some physician rates don't reflect true attribution?

<u>ANSWER:</u> In models where providers work as a team, often better to have them consider improvement as a team and have open discussions about solutions at their department meetings. For example: Is everyone supporting breech version? Is someone consistently delaying the cesarean section to the next shift or admitting patients in latent phase?

The Maternal Data Center now also has the ability to track "labor provider." This requires a little extra manual data entry on a case-by-case basis (and close tracking by each hospital as to who was the actual "labor provider" for each patient), but will greatly improve the ability to track NTSV rates in institutions where attribution is difficult to sort out.

Additionally, the hospitals in the collaborative have the ability to track consistency with ACOG guidelines for diagnosis of labor dystocia. For those who desire a proxy measure for provider improvement, provider-level data for "consistency with guidelines" is available through the data center, but does take some additional chart review and sorting. To do this:

- From the hospital landing page, click on the measure "NTSV Spontaneous Labor Arrest/CPD: Consistency with Guidelines." This will display the hospital trend via a run chart.
- Use the drop-down menu to adjust the time period, and then click on the data point in the run chart to drill-down to the patient level. This will give a list of cases.
- Click on Provider ID to sort.
- 3. How frequently should we share provider level data?



ANSWER: There is a balance to be had here. Monthly data analysis rarely provides providers with sufficient sample size to be meaningful (and can "numb" the providers to the data) but annual data release removes the immediacy of the issue. A good medium is to provide quarterly provider level data with annual un-blinded release. Department level data can be shared monthly unless the facility is quite small, as you would do in any QI project.

5. Don't forget to pair the NTSV Rates and progress with the Balancing Measures!

<u>ANSWER:</u> The pairing has been very helpful with advancing acceptance of the project. But be careful about sample sizes here as well! Small samples (a doctor or even a single month for the entire department) can be misleading. We recommend reporting these quarterly unless you work in a very large department. Trend lines and comparisons are easily found in the Data Center.

Reference: Institute for Health Care Improvement. *Engaging Physicians in a Shared Quality Agenda*. Retrieved from: http://www.ihi.org/resources/Pages/IHIWhitePapers/EngagingPhysiciansWhitePaper.asp



Audit Worksheet for Consistency with ACOG Guidelines for Diagnosis of Labor Dystocia or Failed Induction

Name of Audit	or:		Au	dit completed on	(date)			
	•	_		cases to determi	ne correct use of dysto	ocia		
diagnosis. Plac	e√ if criteria m	net in each categ	jory.					
		1		2				
		is of Dystocia/Arre		For diagnosis of Failed Induction before				
		ree should be pre		6 cm dilation (bo	oth should be present)	failed		
Identifier (MRN, Other)	Cervix ≥ 6cm	Membranes ruptured, then	No change x 4 hrs with adequate uterine activity (or 6 hrs on oxytocin w/inadequate uterine activity)	Bishop score ≥ 8 before elective induction	Oxytocin used for a minimum of 12 hours after ROM	induction after 6 cm dilation (see criteria 1)		
	1			1				

To calculate "percent consistency with ACOG guidelines for diagnosis of labor dystocia or failed induction"-- divide the number of cases that were 100% consistent with guidelines (meaning the cases that checked all boxes) by the number of cases reviewed.





SAFE REDUCTION OF PRIMARY CESAREAN BIRTHS: SUPPORTING INTENDED VAGINAL BIRTHS



READINESS

Every Patient, Provider and Facility

- Build a provider and maternity unit culture that values, promotes, and supports spontaneous onset and progress of labor and vaginal birth and understands the risks for current and future pregnancies of cesarean birth without medical indication.
- Optimize patient and family engagement in education, informed consent, and shared decision making about normal healthy labor and birth throughout the maternity care cycle.
- Adopt provider education and training techniques that develop knowledge and skills on approaches which maximize the likelihood of vaginal birth, including assessment of labor, methods to promote labor progress, labor support, pain management (both pharmacologic and non-pharmacologic), and shared decision making.



RECOGNITION AND PREVENTION

Every patient

- Implement standardized admission criteria, triage management, education, and support for women presenting in spontaneous labor.
- Offer standardized techniques of pain management and comfort measures that promote labor progress and prevent dysfunctional labor.
- Use standardized methods in the assessment of the fetal heart rate status, including interpretation, documentation using NICHD terminology, and encourage methods that promote freedom of movement.
- Adopt protocols for timely identification of specific problems, such as herpes and breech presentation, for patients who can benefit from proactive intervention before labor to reduce the risk for cesarean birth.

PATIENT SAFETY BUNDLE

Safe Reduction of Primary Cesarean Birt

Standardization of health care processes and reduced variation has been shown to improve outcomes and quality of care. The Council on Patient Safety in Women's Health Care disseminates patient safety bundles to help facilitate the standardization process. This bundle reflects emerging clinical, scientific, and patient safety advances as of the date issued and is subject to change. The information should not be construed as dictating an exclusive course of treatment or procedure to be followed. Although the components of a particular bundle may be adapted to local resources, standardization within an institution is strongly encouraged.

The Council on Patient Safety in Women's Health Care is a broad consortium of organizations across the spectrum of women's health for the promotion of safe health care for every woman.

October 2015

For more information visit the Council's website at www.safehealthcareforeverywoman.org







RESPONSE

To Every Labor Challenge

- Have available an in-house maternity care provider or alternative coverage which guarantees timely and effective responses to labor problems.
- Uphold standardized induction scheduling to ensure proper selection and preparation of women undergoing induction.
- Utilize standardized evidence-based labor algorithms, policies, and techniques, which allow for prompt recognition and treatment of dystocia.
- Adopt policies that outline standard responses to abnormal fetal heart rate patterns and uterine activity.
- Make available special expertise and techniques to lessen the need for abdominal delivery, such as breech version, instrumented delivery, and twin delivery protocols.



REPORTING/SYSTEMS LEARNING

Every birth facility

- Track and report labor and cesarean measures in sufficient detail to: 1) compare to similar institutions, 2) conduct case review and system analysis to drive care improvement, and 3) assess individual provider performance.
- Track appropriate metrics and balancing measures, which assess maternal and newborn outcomes resulting from changes in labor management strategies to ensure safety.

PATIENT SAFETY BUNDLE

Safe Reduction of Primary Cesarean Birt

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WEB RESOURCES

AHRQ Team Stepps Implementation Guide

http://www.ahrq.gov/professionals/education/curriculum-tools/teamstepps/instructor/essentials/implguide.html

California Maternal Quality Care Collaborative

www.cmqcc.org

Institute for Health Care Improvement

http://www.ihi.org/resources/Pages/HowtoImprove/default.aspx

Institute for Health Care Improvement - Physician Engagement

http://www.ihi.org/resources/Pages/IHIWhitePapers/EngagingPhysiciansWhitePaper.aspx

National Council on Patient Safety in Women's Health Care

http://www.safehealthcareforeverywoman.org/default.php

Institute for Health Care Improvement - QI Essentials Toolkit

http://www.ihi.org/resources/Pages/Tools/Quality-Improvement-Essentials-Toolkit.aspx



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